

IN THE SUPREME COURT OF CANADA
(On Appeal from the Saskatchewan Court of Appeal)

BETWEEN:

ATTORNEY GENERAL FOR SASKATCHEWAN

APPELLANT

-and-

ATTORNEY GENERAL OF CANADA

RESPONDENT

-and-

ATTORNEY GENERAL OF ONTARIO, ATTORNEY GENERAL OF QUEBEC, ATTORNEY GENERAL OF NEW BRUNSWICK, ATTORNEY GENERAL OF MANITOBA, ATTORNEY GENERAL OF BRITISH COLUMBIA, ATTORNEY GENERAL OF ALBERTA, Progress Alberta Communications Limited, Canadian Labour Congress, Saskatchewan Power Corporation and SaskEnergy Incorporated, Oceans North Conservation Society, Assembly of First Nations, Canadian Taxpayers Federation, Canada's Ecofiscal Commission, Canadian Environmental Law Association, Environmental Defence Canada Inc. and Sisters of Providence of St. Vincent de Paul, Amnesty International Canada, National Association of Women and the Law and Friends of the Earth, International Emissions Trading Association, David Suzuki Foundation, Athabasca Chipewyan First Nation, Smart Prosperity Institute, Canadian Public Health Association, Climate Justice Saskatoon, National Farmers Union, Saskatchewan Coalition for Sustainable Development, Saskatchewan Council for International Cooperation, Saskatchewan Environmental Society, SaskEV, Council of Canadians: Prairie and Northwest Territories Region, Council of Canadians: Regina Chapter, Council of Canadians: Saskatoon Chapter, New-Brunswick Anti-Shale Gas Alliance and Youth of the Earth, Centre québécois du droit de l'environnement et Équiterre, Generation Squeeze, Public Health Association of British Columbia, Saskatchewan Public Health Association, Canadian Association of Physicians for the Environment, Canadian Coalition for the Rights of the Child and Youth Climate Lab, Assembly of Manitoba Chiefs, City of Richmond, City of Victoria, City of Nelson, District of Squamish, City of Rossland and City of Vancouver

INTERVENERS

AND BETWEEN:

IN THE SUPREME COURT OF CANADA
(On Appeal from the Ontario Court of Appeal)

BETWEEN:

ATTORNEY GENERAL OF ONTARIO

APPELLANT

-and-

ATTORNEY GENERAL OF CANADA

RESPONDENT

-and-

ATTORNEY GENERAL OF QUEBEC, ATTORNEY GENERAL OF NEW BRUNSWICK, ATTORNEY GENERAL OF MANITOBA, ATTORNEY GENERAL OF BRITISH COLUMBIA, ATTORNEY GENERAL FOR SASKATCHEWAN, ATTORNEY GENERAL OF ALBERTA, Progress Alberta Communications Limited, Anishinabek Nation and United Chiefs and Councils of Mniidoo Mnising, Canadian Labour Congress, Saskatchewan Power Corporation and SaskEnergy Incorporated, Oceans North Conservation Society, Assembly of First Nations, Canadian Taxpayers Federation, Canada's Ecofiscal Commission, Canadian Environmental Law Association, Environmental Defence Canada Inc. and Sisters of Providence of St. Vincent de Paul, Amnesty International Canada, National Association of Women and the Law and Friends of the Earth, International Emissions Trading Association, David Suzuki Foundation, Athabasca Chipewyan First Nation, Smart Prosperity Institute, Canadian Public Health Association, Climate Justice Saskatoon, National Farmers Union, Saskatchewan Coalition for Sustainable Development, Saskatchewan Council for International Cooperation, Saskatchewan Environmental Society, SaskEV, Council of Canadians: Prairie and Northwest Territories Region, Council of Canadians: Regina Chapter, Council of Canadians: Saskatoon Chapter, New-Brunswick Anti-Shale Gas Alliance and Youth of the Earth, Centre québécois du droit de l'environnement et Équiterre, Generation Squeeze, Public Health Association of British Columbia, Saskatchewan Public Health Association, Canadian Association of Physicians for the Environment, Canadian Coalition for the Rights of the Child and Youth Climate Lab, Assembly of Manitoba Chiefs, City of Richmond, City of Victoria, City of Nelson, District of Squamish, City of Rossland and City of Vancouver

INTERVENERS

**FACTUM OF THE INTERVENERS
AMNESTY INTERNATIONAL**

(Pursuant to [Rule 42](#) of the *Rules of the Supreme Court of Canada*)

Justin Safayeni

Zachary Al-Khatib

Stockwoods LLP Barristers
TD North Tower
77 King Street West
Suite 4130, P.O. Box 140
Toronto-Dominion Centre
Toronto, ON M5K 1H1

Tel: 416-593-7200

Fax: 416-593-9345

Email: justins@stockwoods.ca
zacharya@stockwoods.ca

Lawyers for the Intervener, Amnesty
International Canada

David Taylor

Conway Baxter Wilson LLP
400-411 Roosevelt Avenue
Ottawa, ON K2A 3X9

Tel: 613-691-0368

Fax: 613-688-0271

Email: dtaylor@conway.pro

Agent for the Intervener,
Amnesty International Canada

ORIGINAL TO:

THE REGISTRAR

Supreme Court of Canada
301 Wellington Street
Ottawa, ON K1A 0J1

COPIES TO:

**ATTORNEY GENERAL FOR
SASKATCHEWAN**

820 – 1874 Scarth Street
Aboriginal Law Branch
Regina, SK S3P 3B3

P. Mitch McAdam, Q.C.

Alan Jacobson

Deron Kuski, Q.C.

Tel: 306-787-7846

Fax: 306-787-9111

Email: mitch.mcadam@gov.sk.ca

Lawyers for the Appellant, Attorney General
for Saskatchewan

ATTORNEY GENERAL OF CANADA

Prairie Regional Office
301 – 310 Broadway Avenue
Winnipeg, MB R3C 0S6

Sharlene Telles-Langdon

Christine Mohr

Mary Matthews

Neil Goodridge

Tel: 204-983-0862

Fax: 204-984-8495

Email: Sharlene.Telles-Langdon@justice.gc.ca

Lawyers for the Respondent

D. Lynne Watt

Gowling WLG (Canada) LLP
160 Elgin Street
Suite 2600
Ottawa, ON K1P 1C3

Tel: 613-786-8695

Fax: 613-788-3509

Email: lynne.watt@gowlingwlg.com

Agent for the Appellant, Attorney General for
Saskatchewan

Christopher Rupar

Department of Justice
50 O'Connor Street
Suite 500
Ottawa, ON K1A 0H8

Tel: 613-670-6290

Fax: 613-954-1920

Email: christopher.rupar@justice.gc.ca

Agent for the Respondent, Attorney General of
Canada

ATTORNEY GENERAL OF ONTARIO

Constitutional Law Branch
720 Bay Street, 4th Floor
Toronto, ON M7A 2S9

Joshua Hunter

Padraic Ryan

Aud Ranalli

Tel: 416-908-7465

Fax: 416-326-4015

Email: joshua.hunter@ontario.ca

Lawyers for the Appellant, Attorney General of Ontario

Marie-France Major

Supreme Advocacy LLP

100 – 340 Gilmour Street

Ottawa, ON K2P 0R3

Tel: 613-695-8855, Ext. 102

Fax: 613-695-8580

Email: mfmajor@supremeadvocacy.ca

Agent for the Appellant, Attorney General of Ontario

ATTORNEY GENERAL OF BRITISH COLUMBIA

1001 Douglas Street, 6th Floor
P.O. Box 9280, Stn Prov Govt
Victoria, BC V8W 9J7

Michael J. Sobkin

331 Somerset Street West

Ottawa, ON K2P 0J8

J. Gareth Morley

Tel: 250-952-7644

Fax: 250-356-0064

Email: gareth.morley@gov.bc.ca

Lawyers for the Intervener, Attorney General of British Columbia

Tel: 613-282-1712

Fax: 613-288-2896

Email: msobkin@sympatico.ca

Agent for the Intervener, Attorney General of British Columbia

ATTORNEY GENERAL OF NEW BRUNSWICK

675 King Street, Suite 2018
P.O. Box 6000, Stn A
Fredericton, NB E3B 5H1

D. Lynne Watt

Gowling WLG (Canada) LLP

160 Elgin Street

Suite 2600

Ottawa, ON K1P 1C3

William Gould

Tel: 506-453-2222

Fax: 506-453-3275

Email: william.gould@gnb.ca

Lawyers for the Intervener, Attorney General of New Brunswick

Tel: 613-786-8695

Fax: 613-788-3509

Email: lynne.watt@gowlingwlg.com

Agent for the Intervener, Attorney General of New Brunswick

ATTORNEY GENERAL OF ALBERTA

Gall LeggeGrant Zwack LLP
1199 West Hastings Street
Suite 1000
Vancouver, BC V6E 3T5

Peter A. Gall, Q.C.

Tel: 604-891-1152
Fax: 604-669-5101
Email: pgall@glgzlaw.com
Lawyers for the Intervener, Attorney General of Alberta

ATTORNEY GENERAL OF MANITOBA

Constitutional Law
1230 – 405 Broadway
Winnipeg, MB R3C 3L6

Michael Conner**Allison Kindle Pejovic**

Tel: 204-945-6723
Fax: 204-945-0053
Email: michael.conner@gov.mb.ca
Lawyers for the Intervener, Attorney General of Manitoba

ATTORNEY GENERAL OF QUEBEC

Procureure générale du Québec
Ministère de la justice du Québec
1200 Route de l'Église, 4e étage
Québec, QC G1V 4M1

Jean-Vincent Lacroix

Tel: 418-643-1477, Ext. 20779
Fax: 418-644-7030
Email: jean-vincent.lacroix@justice.gouv.qc.ca
Lawyers for the Intervener, Attorney General of Quebec

Alyssa Tomkins

CazaSaikaley LLP
350 – 220 avenue Laurier Ouest
Ottawa, ON K1P 5Z9

Tel: 613-564-8269

Fax: 613-565-2087

Email: atomkins@plaideurs.ca

Agent for the Intervener, Attorney General of Alberta

D. Lynne Watt

Gowling WLG (Canada) LLP
160 Elgin Street
Suite 2600
Ottawa, ON K1P 1C3

Tel: 613-786-8695

Fax: 613-788-3509

Email: lynne.watt@gowlingwlg.com

Agent for the Intervener, Attorney General of Manitoba

Pierre Landry

Noël & Associés
111, rue Champlain
Gatineau, QC J8X 3R1

Tel: 819-503-2178

Fax: 819-771-5397

Email: p.landry@noelassocies.com

Agent for the Intervener, Attorney General of Quebec

**PROGRESS ALBERTA
COMMUNICATIONS LIMITED**

Nanda & Company
3400 Manulife Place
10180- 101 Street N.W.
Edmonton, AB T5J 4K1

**Avnish Nanda
Martin Olszynski**

Tel: (780) 801-5324
Fax: (587) 318-1391
E-mail: avnish@nandalaw.ca
Lawyers for the Intervener, Progress Alberta
Communications Limited

**ANISHINABEK NATION AND UNITED
CHIEFS AND COUNCILS OF MNIDOO
MNISING**

Westaway Law Group
55 Murray Street
Suite 230
Ottawa, ON K1N 5M3

**Cynthia Westaway
M. Patricia Lawrence**

Tel: (613) 722-6339
Fax: (613) 722-9097
E-mail: cynthia@westawaylaw.ca
Lawyers for the Intervener, Anishinabek
Nation and United Chiefs and Councils of
Mnidoo Mnising

Dylan Jr. McGuinty
McGuinty Law Offices
1192 Rockingham Avenue
Ottawa, ON K1H 8A7

Tel: (613) 526-3858
Fax: (613) 526-3187
E-mail: dylanjr@mcguintylaw.ca
Agent for the Intervener, Progress Alberta
Communications Limited

Geneviève Boulay
Westaway Law Group
55 Murray Street
Suite 230
Ottawa, ON K1N 5M3

Tel: (613) 702-3042
Fax: (613) 722-9097

E-mail: genevieve@westawaylaw.ca
Agent for the Intervener, Anishinabek Nation
and United Chiefs and Councils of Mnidoo
Mnising

CANADIAN LABOUR CONGRESS

Goldblatt Partners LLP
20 Dundas Street West
Suite 1039
Toronto, ON M5G 2C2

Steven M. Barrett**Simon Archer****Mariam Moktar**

Tel: (416) 977-6070

Fax: (416) 591-7333

E-mail: sbarrett@goldblattpartners.com

Lawyers for the Intervener, Canadian Labour
Congress

**SASKATCHEWAN POWER
CORPORATION AND SASKENERGY
INCORPORATED**

McKercher LLP

374 Third Avenue South

Saskatoon, SK S7K 1M5

David M. A. Stack, Q.C.

Tel: (306) 664-1277

Fax: (306) 653-2669

E-mail: d.stack@mckercher.ca

Lawyers for the Intervener, Saskatchewan
Power Corporation and Saskenergy
Incorporated

**OCEANS NORTH CONSERVATION
SOCIETY**

Arvay Finlay LLP

1512-808 Nelson Street

Vancouver, BC V6Z 2H2

David W.L. Wu

Tel: (604) 696-9828

Fax: (888) 575-3281

E-mail: dwu@arvayfinlay.ca

Lawyers for the Intervener, Ocean North
Conservation Society

Colleen Bauman

Goldblatt Partners LLP
500-30 Metcalfe St.
Ottawa, ON K1P 5L4

Tel: (613) 482-2463

Fax: (613) 235-3041

E-mail: cbauman@goldblattpartners.com

Agent for the Intervener, Canadian Labour
Congress

D. Lynne Watt

Gowling WLG (Canada) LLP

160 Elgin Street

Suite 2600

Ottawa, ON K1P 1C3

Tel: (613) 786-8695

Fax: (613) 788-3509

E-mail: lynne.watt@gowlingwlg.com

Agent for the Intervener, Saskatchewan Power
Corporation and Saskenergy Incorporated

Moirá Dillon

Supreme Law Group

900 - 275 Slater Street

Ottawa, ON K1P 5H9

Tel: (613) 691-1224

Fax: (613) 691-1338

E-mail: mdillon@supremelawgroup.ca

Agent for the Intervener, Oceans North
Conservation Society

ASSEMBLY OF FIRST NATIONS

Assembly of First Nations
55 Metcalfe Street, Suite 1600
Ottawa, ON K1P 6L5

Stuart Wuttke

Julie McGregor

Adam Williamson

Tel: (613) 241-6789 Ext: 228

Fax: (613) 241-5808

E-mail: swuttke@afn.ca

Lawyers for the Intervener, Assembly of First Nations

Moiria Dillon

Supreme Law Group
900 - 275 Slater Street
Ottawa, ON K1P 5H9

Tel: (613) 691-1224

Fax: (613) 691-1338

E-mail: mdillon@supremelawgroup.ca

Agent for the Intervener, Assembly of First Nations

CANADIAN TAXPAYERS FEDERATION

Crease Harman LLP
1070 Douglas Street, Unit 800
Victoria, BC V8W 2C4

Marie-France Major

Supreme Advocacy LLP
100- 340 Gilmour Street
Ottawa, ON K2P 0R3

R. Bruce E. Hallsor

Hana Felix

Tel: (250) 388-9124

Fax: (250) 388-4294

E-mail: Bhallsor@crease.com

Lawyers for the Intervener, Canadian Taxpayers Federation

Tel: (613) 695-8855 Ext: 102

Fax: (613) 695-8580

E-mail: mfmajor@supremeadvocacy.ca

Agent for the Intervener, Canadian Taxpayers Federation

CANADA'S ECOFISCAL COMMISSION

University of Ottawa
Faculty of Law
57 Louis Pasteur St.
Ottawa, ON K1N 6N5

Bijon Roy

Champ and Associates
43 Florence Street
Ottawa, ON K2P 0W6

Stewart Elgie, LSM

Tel: (613) 562-5800 Ext: 1270

E-mail: stewart.elgie@uottawa.ca

Lawyers for the Intervener, Canada's Ecofiscal Commission

Tel: (613) 237-4740

Fax: (613) 232-2680

E-mail: broy@champlaw.ca

Agent for the Intervener, Canada's Ecofiscal Commission

**CANADIAN ENVIRONMENTAL LAW
ASSOCIATION, ENVIRONMENTAL
DEFENCE CANADA INC. AND SISTERS
OF PROVIDENCE OF ST. VINCENT DE
PAUL**

Canadian Environmental Law Association
1500 - 55 University Avenue
Toronto, ON M5J 2H7

Joseph F. Castrilli
Theresa McClenaghan
Richard D. Lindgren

Tel: (416) 960-2284 Ext: 7218
Fax: (416) 960-9392
E-mail: castrillij@sympatico.ca
Lawyers for the Intervener, Canadian
Environmental Law Association,
Environmental Defence Canada Inc. and
Sisters of Providence of St. Vincent de Paul

AMNESTY INTERNATIONAL CANADA

Stockwoods LLP
TD North Tower, suite 4130
77 King Street West, P.O. Box 140
Toronto, ON M5K 1H1

Justin Safayeni

Zachary Al-Khatib
Tel: (416) 593-7200
Fax: (416) 593-9345
E-mail: justins@stockwoods.ca
Lawyers for the Intervener Amnesty
International Canada

Jeffrey W. Beedell

Gowling WLG (Canada) LLP
160 Elgin Street, Suite 2600
Ottawa, ON K1P 1C3

Tel: (613) 786-0171

Fax: (613) 788-3587

E-mail: jeff.beedell@gowlingwlg.com

Agent for the Intervener, Canadian
Environmental Law Association,
Environmental Defence Canada Inc. and Sisters
of Providence of St. Vincent de Paul

David P. Taylor

Conway Baxter Wilson LLP
400 - 411 Roosevelt Avenue
Ottawa, ON K2A 3X9

Tel: (613) 691-0368

Fax: (613) 688-0271

E-mail: dtaylor@conway.pro

Agent for the Intervener, Amnesty International
Canada

**INTERNATIONAL EMISSIONS
TRADING ASSOCIATION**

DeMarco Allan LLP
333 Bay Street
Suite 265
Toronto, ON M5H 2R2

Elisabeth DeMarco
Jonathan McGillivray

Tel: (647) 991-1190

Fax: (888) 734-9459

E-mail: lisa@demarcoallan.com

Lawyers for the Intervener, International
Emissions Trading Association

DAVID SUZUKI FOUNDATION

Ecojustice Environmental Law Clinic at the
University of Ottawa
216-1 Stewart Street
Faculty of Law - Common Law
Ottawa, ON K1N 6N5

Joshua Ginsberg
Randy Christensen

Tel: (613) 562-5800 Ext: 3399

Fax: (613) 562-5319

E-mail: jginsberg@ecojustice.ca

Lawyers for the Intervener, David Suzuki
Foundation

**ATHABASCA CHIPEWYAN FIRST
NATION**

Ecojustice Environmental Law Clinic at the
University of Ottawa
216-1 Stewart Street
Ottawa, ON K1N 6N5

Amir Attaran

Tel: (613) 562-5800 Ext: 3382

Fax: (613) 562-5319

E-mail: aattaran@ecojustice.ca

Lawyers for the Intervener, Athabasca
Chipewyan First Nation

SMART PROSPERITY INSTITUTE

University of Ottawa
Faculty of Law
57 Louis Pasteur Street
Ottawa, ON K1N 6N5

Jeremy de Beer

Tel: (613) 562-5800 Ext: 3169

E-mail: Jeremy.deBeer@uOttawa.ca

Lawyers for the Intervener, Smart Prosperity
Institute

Guy Régimbald

WLG (Canada) LLP

160 Elgin Street

Suite 2600

Ottawa, ON K1P 1C3

Tel: (613) 786-0197

Fax: (613) 563-9869

E-mail: guy.regimbald@gowlingwlg.com

Agent for the Intervener, Smart Prosperity
Institute

**CANADIAN PUBLIC HEALTH
ASSOCIATION**

Gowling WLG (Canada) LLP
Suite 1600, 1 First Canadian Place
100 King Street West
Toronto, ON M5X 1G5

Jennifer L. King

Michael Finley

Liane Langstaff

Tel: (416) 862-7525

Fax: (416) 862-7661

E-mail: jennifer.king@gowlingwlg.com

Lawyers for the Intervener, Canadian Public
Health Association

Jeffrey W. Beedell

Gowling WLG (Canada) LLP

160 Elgin Street, Suite 2600

Ottawa, ON K1P 1C3

Tel: (613) 786-0171

Fax: (613) 788-3587

E-mail: jeff.beedell@gowlingwlg.com

Agent for the Intervener, Canadian Public
Health Association

**CLIMATE JUSTICE SASKATOON,
NATIONAL FARMERS UNION,
SASKATCHEWAN COALITION FOR
SUSTAINABLE DEVELOPMENT,
SASKATCHEWAN COUNCIL FOR
INTERNATIONAL COOPERATION,
SASKATCHEWAN ENVIRONMENTAL
SOCIETY, SASKEV**

Kowalchuk Law Office
18 Patton Street
Regina, SK S4R 3N9

Larry W. Kowalchuk

Tel: (306) 529-3001

E-mail: larry@kowalchuklaw.ca

Lawyers for the Interveners, Climate Justice
Saskatoon, National Farmers Union,
Saskatchewan Coalition for Sustainable
Development, Saskatchewan Council for
International Cooperation, Saskatchewan
Environmental Society, SaskEV

Moirra Dillon

Supreme Law Group
900 - 275 Slater Street
Ottawa, ON K1P 5H9

Tel: (613) 691-1224

Fax: (613) 691-1338

E-mail: mdillon@supremelawgroup.ca

Agent for the Interveners, Climate Justice
Saskatoon, National Farmers Union,
Saskatchewan Coalition for Sustainable
Development, Saskatchewan Council for
International Cooperation, Saskatchewan
Environmental Society, SaskEV

**COUNCIL OF CANADIANS: PRAIRIE
AND NORTHWEST TERRITORIES
REGION, COUNCIL OF CANADIANS:
REGINA CHAPTER, COUNCIL OF
CANADIANS: SASKATOON CHAPTER,
NEW-BRUNSWICK ANTI-SHALE GAS
ALLIANCE AND YOUTH OF THE
EARTH**

Kowalchuk Law Office
18 Patton Street
Regina, SK S4R 3N9

Larry W. Kowalchuk

Tel: (306) 529-3001

E-mail: larry@kowalchuklaw.ca

Lawyers for the Interveners, Council of
Canadians: Prairie and Northwest Territories
Region, Council of Canadians: Regina
Chapter, Council of Canadians: Saskatoon

Moirra Dillon

Supreme Law Group
900 - 275 Slater Street
Ottawa, ON K1P 5H9

Tel: (613) 691-1224

Fax: (613) 691-1338

E-mail: mdillon@supremelawgroup.ca

Agents for the Interveners, Council of
Canadians: Prairie and Northwest Territories
Region, Council of Canadians: Regina Chapter,
Council of Canadians: Saskatoon
Chapter, New-Brunswick Anti-Shale Gas
Alliance and Youth of the Earth

Chapter, New-Brunswick Anti-Shale Gas
Alliance and Youth of the Earth

**CENTRE QUÉBÉCOIS DU DROIT DE
L'ENVIRONNEMENT ET ÉQUITERRE**

Michel Bélanger Avocats Inc.
454 avenue Laurier Est
Montréal, QC H2J 1E7

David Robitaille

Marc Bishai

Tel: (514) 991-9005

Fax: (514) 844-7009

E-mail: david.robitaille@uottawa.ca

Lawyers for the Intervener Centre québécois
du droit de l'environnement et Équiterre

Maxine Vincelette

Juristes Power
130 rue Albert
bureau 1103
Ottawa, ON K1P 5G4

Tel: (613) 702-5560

Fax: (613) 702-5561

E-mail: mvincelette@juristespower.ca

Agent for the Intervener, Centre québécois du
droit de l'environnement et Équiterre

**Generation Squeeze, Public Health
Association of British Columbia,
Saskatchewan Public Health Association,
Canadian Association of Physicians for the
Environment, Canadian Coalition for the
Rights of the Child and Youth Climate Lab**

Darius Bossé

Power Law
130 Albert Street
Suite 1103
Ottawa, ON K1P 5G4

Ratcliff & Company LLP
221 West Esplanade
Suite 500
North Vancouver, BC V7M 3J3

Nathan Hume

Emma Hume

Cam Brewer

Tel: (604) 988-5201

Fax: (604) 988-1452

E-mail: nhume@ratcliff.com

Lawyers for the Intervener, Generation
Squeeze, Public Health Association of British
Columbia, Saskatchewan Public Health
Association, Canadian Association of
Physicians for the Environment, Canadian

Tel: (613) 702-5566

Fax: (613) 702-5566

E-mail: DBosse@juristespower.ca

Agent for the Intervener, Generation Squeeze,
Public Health Association of British Columbia,
Saskatchewan Public Health Association,
Canadian Association of Physicians for the
Environment, Canadian Coalition for the
Rights of the Child and Youth Climate Lab

Coalition for the Rights of the Child and Youth
Climate Lab

ASSEMBLY OF MANITOBA CHIEFS

Public Interest Law Centre
200-393 Portage Avenue
Winnipeg, MB R3B 3H6

Joëlle Pastora Sala

Byron Williams

Katrine Dilay

Tel: (204) 985-8540

Fax: (204) 985-8544

E-mail: jopas@pilc.mb.ca

Lawyers for the Intervener, Assembly of
Manitoba Chiefs

Maxine Vincelette

Power Law

130 Albert Street

Suite 1103

Ottawa, ON K1P 5G4

Tel: (613) 702-5560

Fax: (613) 702-5560

E-mail: mvincelette@powerlaw.ca

Agent for the Intervener, Assembly of
Manitoba Chiefs

**CITY OF RICHMOND, CITY OF
VICTORIA, CITY OF NELSON,
DISTRICT OF SQUAMISH, CITY OF
ROSSLAND AND CITY OF VANCOUVER**

Lidstone & Company
Sun Tower, Suite 1300
128 Pender Street West
Vancouver, BC V6B 1R8

Paul A. Hildebrand

Olivia French

Tel: (604) 899-2269

Fax: (604) 899-2281

E-mail: hildebrand@lidstone.ca

Lawyers for the Interveners, City of
Richmond, City of Victoria, City of Nelson,
District of Squamish, City of Rossland and
City of Vancouver

Maxine Vincelette

Power Law

130 Albert Street

Suite 1103

Ottawa, ON K1P 5G4

Tel: (613) 702-5560

Fax: (613) 702-5560

E-mail: mvincelette@powerlaw.ca

Agent for the Interveners, City of Richmond,
City of Victoria, City of Nelson, District of
Squamish, City of Rossland and City of
Vancouver

TABLE OF CONTENTS

	PAGE
PART I - STATEMENT OF FACTS	1
PART II - OVERVIEW AND POSITIONS ON QUESTIONS IN ISSUE	1
PART III - LAW AND ARGUMENT.....	2
PART IV - COSTS	11
PART V - ORDER REQUESTED	11
PART VI - TABLE OF AUTHORITIES	12

PART I - STATEMENT OF FACTS

1. Amnesty International (“Amnesty”) accepts the facts as set out in the parties’ factums and takes no position on any disputed facts.

PART II - OVERVIEW AND POSITIONS ON QUESTIONS IN ISSUE

2. Constitutional interpretation has been called “a mirror reflecting the national soul.”¹ This Court has recognized that the values that constitute our ‘national soul’ are increasingly influenced by, and shared with, the international community. Correspondingly, Canadian judges interpreting constitutional law have recognized, and should continue to recognize, international law — and particularly international human rights law — as a valuable interpretive tool.

3. This Court has endorsed this approach. It regularly affirms that Canada’s international obligations inform the interpretation of *Charter* rights. It has also applied an international human rights lens to other “quasi-constitutional documents.”²

4. In an appropriate case, this same approach should extend to other constitutional texts, including to the interpretation of ss. 91 and 92 of the *Constitution Act*. This means that in situations where there is ambiguity regarding the scope of a ss. 91/92 power, the Court must consider whether one result will effectively undermine Canada’s international human rights commitments. Amnesty argues that this perspective must inform the Court’s determination of the question on these appeals

¹ Yvonne Boyer, “First Nations, Metis, and Inuit Women's Health: A Rights-Based Approach”, 2017 54-3 *Alberta Law Review* 611, 2017 CanLIIDocs 55, <http://www.canlii.org/t/r4> at 625

² See for example, *Singh v Canada (Minister of Employment and Immigration)* [1985] 2 SCR 177; *Canada (AG) v Mossop* [1993] 1 SCR 554 (<https://scc-csc.lexum.com/scc-csc/scc-csc/en/item/39/index.do>)

— namely, the scope of Canada’s ability to establish minimum national standards for greenhouse gas (GHG) emissions.

PART III - LAW AND ARGUMENT

Anthropogenic Climate Change is a Human Rights Problem

5. Anthropogenic climate change is “an urgent and potentially irreversible threat to human societies and the planet”, requiring “the widest possible cooperation by all countries, and their participation in an effective and appropriate international response.”³ This threat presents novel challenges for the Canadian legal and constitutional order, which were not (and could not have been) anticipated by drafters of the Constitution, and which will require evolving and responsive interpretations of the law.

6. Moreover, it has long been recognized that a clean, healthy and functional environment is integral to the enjoyment of human rights, such as the rights to life, health, food and an adequate standard of living.⁴ Over the course of the last decade the international community has arrived at a clear consensus on all of these issues.

7. The Intergovernmental Panel on Climate Change (IPCC)’s Fifth Assessment Report⁵ provides a detailed picture of how observed and predicted climactic changes will adversely affect millions of people and the ecosystems, natural resources, and physical infrastructure upon which they depend.⁶ As the Court of Appeal for Ontario acknowledged, the 1.5-degree Celsius rise in

³ *Reference re Greenhouse Gas Pollution Pricing Act*, 2019 ONCA 544 at para 6 [“ONCA Reference”] (<http://canlii.ca/t/j16w0>)

⁴ ONCA Reference at para 11

⁵ Cited in *Reference re Greenhouse Gas Pollution Pricing Act*, 2019 SKCA 40 at para 16 [“SKCA Reference”] (<http://canlii.ca/t/j03gt>)

⁶ Intergovernmental Panel on Climate Change (IPCC), *Climate Change 2014: Impacts, Adaptation, and Vulnerability*, Contribution of the Working Group II to the Fifth Assessment

temperatures projected (at current GHG emissions) to occur by 2040 will have catastrophic environmental effects.⁷ These include sudden-onset events that pose a direct threat to Canadian lives and safety, as well as more gradual forms of environmental degradation that will undermine access to clean water, food, and other key resources that support human life.

8. As the courts below recognized, these outcomes are *directly* tied to human-caused GHG emissions,⁸ making the regulation and control of GHG emissions a human rights issue.

9. To be clear, Amnesty does not take the position that the current GHG emissions regulation scheme is ideal or sufficient. Indeed, Canada itself agreed with other international partners that GHG pricing was a necessary, but not sufficient response to the environmental crisis.⁹ Much more is needed, including complementary actions in relation to electricity generation, construction practices, transportation, industry, forestry, agriculture and waste management,¹⁰ as well as financing for clean technology research and innovations. Nonetheless, establishing minimum national standards for greenhouse gas (GHG) emissions is an important promising step.

Anthropogenic Climate Change Impacts Canada's Obligations under IHRL

10. Many of the effects of climate change will directly impact Canadians in ways that will deprive them of rights guaranteed under Canada's international treaty obligations. For instance, under *every* projected emission scenario, there is an increased likelihood that human-caused

Report of the Intergovernmental Panel on Climate Change (Cambridge University Press 2014)
Available online: <https://www.ipcc.ch/report/ar5/wg2/>

⁷ ONCA Reference at para 19

⁸ See ONCA Reference at paras 6-21; SKCA Reference at paras 14-17

⁹ SKCA Reference at para 31

¹⁰ *Ibid*

climate change will bring about “severe, pervasive, and irreversible impacts for people and ecosystems” in this century.¹¹ Some of the most profound effects will be for coastal ecosystems and settlement areas — including along Canada’s Northern and Western coasts and throughout the Maritimes.¹² These coastal communities will also be adversely affected by the more gradual degradation of land, soils, freshwater resources, and coastal and estuarine ecosystems.¹³

11. These impacts will deprive Canadians of rights guaranteed under international agreements and undermine Canada’s ability to comply with those agreements. For instance, a number of international human rights treaties and other international instruments bind Canada to uphold the right to life,¹⁴ liberty and security of the person,¹⁵ adequate standards of living,¹⁶ health,¹⁷ and the rights of Indigenous peoples.¹⁸ The International Covenant on Civil and Political Rights (ICCPR) guarantees the right to self-determination broadly, for all peoples,¹⁹ and

¹¹ *Ibid* at para 16

¹² *Ibid* at para 17

¹³ *Ibid*

¹⁴ *International Covenant on Civil and Political Rights*, 19 December 1966, 999 UNTS 171 (entered into force 23 March 1976, accession by Canada 19 May 1976) art 6 [ICCPR]. Available online: <https://www.refworld.org/docid/3ae6b3aa0.html>; UN Human Rights Committee (HRC), *General comment no. 36, on article 6 of International Covenant on Civil and Political Rights (right to life)*, 30 October 2018, CCPR/C/GC/36, para 62 available at https://tbinternet.ohchr.org/Treaties/CCPR/Shared%20Documents/1_Global/CCPR_C_GC_36_8785_E.pdf

¹⁵ ICCPR art. 9

¹⁶ *International Covenant on Economic, Social and Cultural Rights*, 16 December 1966, 993 UNTS 3 (entered into force 3 January 1976, accession by Canada 19 May 1976) art. 11 [ICESCR] Available online: <https://www.refworld.org/docid/3ae6b36c0.html>

¹⁷ ICESCR, art. 12

¹⁸ *United Nations Declaration on the Rights of Indigenous Peoples*, 2 October 2007, A/RES/61/295, available at: <https://www.refworld.org/docid/471355a82.html> [Declaration]

¹⁹ ICCPR, art. 1

this right would be narrowed for Canadians and others alike by the negative impacts of climate change.

12. Similar problems would arise with respect to Canada's ability to comply with international human rights commitments designed to protect marginalized and vulnerable groups, who are particularly susceptible to the economic and social disruption that will occur as a result of climate change. For example, Canada's failure to mitigate the harmful effects of climate change would undermine its compliance with agreements such as the *Convention on the Rights of the Child*,²⁰ which guarantees childrens' rights to "the highest attainable standard of health"²¹ as well as to a "standard of living adequate for ... physical, mental, spiritual, moral, and social development."²² Similarly affected would be Canada's obligations pursuant to the *Convention on the Rights of Persons with Disabilities*,²³ which guarantees similar rights to health²⁴ and an adequate standard of living.²⁵

13. Indigenous peoples in Canada will be especially impacted, given their reliance on and connection to the land and natural resources in their surrounding environment.²⁶ This raises the prospect that Canada will not be fulfilling its obligations under the UN *Declaration on the Rights of Indigenous Peoples*. In particular, that instrument guarantees for Indigenous peoples' such

²⁰ *Convention on the Rights of the Child*, 7 March 1990, E/CN.4/RES/1990/74, available at: <https://www.refworld.org/docid/3b00f03d30.html> [CRC]

²¹ CRC, art. 24

²² CRC, art. 27; see also *General comment No. 15 (2013) on the right of the child to the enjoyment of the highest attainable standard of health (art. 24)*, 17 April 2013, CRC/C/GC/15 at para 50, available at: https://www2.ohchr.org/english/bodies/crc/docs/GC/CRC-C-GC-15_en.doc

²³ *Convention on the Rights of Persons with Disabilities*, 24 January 2007, A/RES/61/106, available at: <https://www.refworld.org/docid/45f973632.html> [CRPD]

²⁴ CRPD, art. 25

²⁵ CRPD, art. 28

²⁶ ONCA Reference at paras 12-14

fundamental rights and freedoms as self-determination²⁷ and equality,²⁸ autonomy,²⁹ and the right to maintain and strengthen their distinct ways of living.³⁰

14. Of course, Canada's international human rights commitments also impose upon it the responsibility for actions that impact the rights of individuals and communities beyond our borders. There is, therefore, not just a practical or moral imperative for thinking internationally when it comes to climate change, but a human rights *obligation* to do so.³¹

15. Finally, an inability to regulate GHG emissions through minimum national emissions standards would jeopardize Canada's ability to meet its commitments under the *Paris Agreement*,³² which Canada ratified on and which was part of the impetus for the *Act* that is the subject of this appeal.³³ The *Preamble* to the *Paris Agreement* specifically obliges parties to "respect, promote and consider their respective obligations on human rights," including "the right to health, the rights of indigenous peoples... migrants, children, persons with disabilities

²⁷ Declaration, art. 3

²⁸ Declaration, art. 2

²⁹ Declaration, art. 4

³⁰ Declaration, art. 5

³¹ *General comment No. 24 (2017) on State obligations under the International Covenant on Economic, Social and Cultural Rights in the context of business activities*, UN Economic and Social Council, E/C.12/GC/24 (10 August 2017), Available online: https://tbinternet.ohchr.org/_layouts/15%20Available%20treatybodyexternal/Download.aspx?symbolno=E%2fC.12%2fGC%2f24&Lang=en

³² *Paris Agreement*, being an Annex to the *Report of the Conference of the parties on its twenty-first session, held in parties from 30 November to 13 December 2015--Addendum Part two: Action taken by the Conference of the parties at its twenty-first session*, 4 November 2016, UN Doc FCCC/CP/2015/Add.1, 55 ILM 740 (entered into force 29 January 2016) [*Paris Agreement*] Available online: https://treaties.un.org/doc/Treaties/2016/02/20160215%2006-03%20PM/Ch_XXVII-7-d.pdf

³³ ONCA Reference at para 25

and people in vulnerable situations and the right to development, as well as gender equality, empowerment of women and intergenerational equity.”³⁴

16. Without the ability to legislate this Act, it is unlikely that Canada will be able to discharge its international obligations and carry out its share of the changes required to reduce global GHG emissions.

Climate Change Requires International Cooperation, which Requires National Cohesiveness

17. Climate change is a problem that cannot be solved by piecemeal litigation or individual nations acting alone. It is an all-or-nothing affair, requiring concerted *international* cooperation.³⁵

18. Yet international cooperation over environmental problems presents a high-stakes version of a prisoner’s dilemma, with potentially devastating consequences. Broadly speaking, environmental regulation often comes at a perceived cost to economic production, at least in the short-term. When any one nation reneges, or fails in its ability to perform on its commitments, all other nations become dis-incentivized from fulfilling their commitments as well.³⁶ As such, the real question for the international community is whether there can be effective “cooperative arrangements that promote sustainable development rather than self-serving, nationalistic ventures that will heighten international conflict and perpetuate international injustices.”³⁷ As the Court of Appeal for Ontario put it, “the international community has recognized that the

³⁴ *Paris Agreement, supra*, Preamble

³⁵ ONCA Reference at para 21

³⁶ See Eva Abrahams, “Climate Change is the Ultimate Prisoner’s Dilemma” (May 9, 2013) *Global Risks Insight* (online): <https://globalriskinsights.com/2013/05/climate-change-is-the-ultimate-prisoners-dilemma/>

³⁷ Marvin S. Soroos, “Global Change, Environmental Security, and the Prisoner’s Dilemma” *Journal of Peace Research* 31:3, 317-332 at 317.

solution to climate change is not within the capacity of any one country and has, therefore, sought to address the issue through global cooperation”.³⁸

19. Whether Canada can be a participant in these effective cooperative agreements will, in large part, come down to the decision of this Court on this appeal.

IHR Commitments Should Inform the Scope of ss 91/92 Powers in these Appeals

20. When interpreting the scope of Canada’s powers, Amnesty submits that this Court should consider the impact of any decision on Canada’s compliance with its international human rights commitments. This means that where there are ambiguities or uncertainties regarding the scope of Canada’s powers, a division-of-powers interpretation that facilitates Canada’s ability to meet its international human rights obligations ought to be preferred over one that undermines or risks compromising Canada’s ability to meet those obligations.

21. These appeals fall squarely within the zone where Amnesty submits that international law is a relevant consideration. As suggested by the split decisions and opinions in the courts below, the division-of-powers questions before this Court are novel and the application of ss. 91/92 is not something that can be unambiguously determined based on the constitutional text or standard division-of-power doctrines. And the power at issue — the ability to set minimum national standards to reduce GHG emissions — engages Canada’s international human rights commitments.

22. To be clear, Amnesty does not suggest that the federal government can unilaterally expand the scope of its s. 91 powers simply by entering into international treaties. Nor does

³⁸ ONCA at para 21

Amnesty suggest that Canada's international commitments can prevail over the clear text of ss. 91 or 92. But where Canada is bound by international human rights obligations, and a particular issue with human rights implications does not fit neatly into either s. 91 or s. 92, Canada's ability to effectively discharge its international obligations ought to be given careful consideration.

23. The proposition that international human rights law has a role to play when interpreting ss. 91/92 of the *Constitution Act* has yet to be expressly recognized by this Court. But it flows naturally from principles that *have* long been recognized. In particular, this Court's jurisprudence has consistently held that international law should inform both the interpretation and content of *Charter* rights.³⁹ The reason for that broad, purposive approach in the *Charter* context stems from the fact that it was conceived of as a product of the international human rights movement, and because of a general posture that Canada's rights-granting documents should, insofar as it is possible, be construed in a manner that reflects Canada's international commitments, in part as a means for Canada to give effect to international human rights standards.

24. In the present appeals, interpreting the scope of federal and provincial powers carries human rights consequences that are just as significant as those that flow from interpreting the

³⁹ *Reference re Public Service Employee Relations Act (Alta.)*, [1987] 1 SCR 313 at 349 (<http://canlii.ca/t/1ftnn>); *Health Services and Support – Facilities Subsector Bargaining Assn. v. British Columbia*, [2007] 2 SCR 391 at 70 (<http://canlii.ca/t/1rqmf>); *Divito v. Canada (Public Safety and Emergency Preparedness)*, 2013 SCC 47 at paras 22–23 (<http://canlii.ca/t/g0mbh>); *India v Badesha*, 2017 SCC 44 at para 38 (<http://canlii.ca/t/h5t15>); *Saskatchewan Federation of Labour v. Saskatchewan*, 2015 SCC 4 at para 64 (<http://canlii.ca/t/gg40r>); *Health Services and Support - Facilities Subsector Bargaining Assn. v. British Columbia*, 2007 SCC 27 at para 70 (<http://canlii.ca/t/1rqmf>); *Ktunaxa Nation v British Columbia (Forests, Lands and Natural Resource Operations)*, 2017 SCC 54 at para 65 (<http://canlii.ca/t/hmtxn>)

scope of a *Charter* right. In this situation, the interpretive approach ought to be similarly sensitive to Canada's obligations under international human rights law.

25. Amnesty's proposed interpretive approach is also consistent with a basic principle of international law⁴⁰ that is expressly codified in many international human rights instruments to which Canada is a party: a party cannot invoke provisions of its internal law to justify derogations from its international obligations.⁴¹ In most cases, this principle means that Canada must take steps to act — for example, by providing funding or other resources to certain communities or vulnerable groups — even where provincial or territorial governments have not acted. But in the unique case of climate change, Canada's very *ability* to ensure it effectively meets many of its international human rights commitments first requires having the *authority* to set minimum national GHG emission standards.

26. Accordingly, Amnesty submits that this Court should take account of Canada's obligations under international human rights law in these appeals. Interpreting the scope of federal powers narrowly under s. 91 of the *Constitution Act* in this case — so as to preclude Canada from setting minimum national standards to reduce GHG emissions — would undermine Canada's ability to comply with these obligations, including obligations to uphold the right to life, liberty and security of the person, adequate standards of living, health, and the rights of Indigenous peoples. Amnesty submits that this consideration should weigh heavy in the balance when undertaking the interpretive exercise.

⁴⁰ Malcolm N. Shaw, *International Law*, 5th Edition, Cambridge: Cambridge University Press, 2003 at p. 125, citing *Polish Nationals in Danzig Case* [1932] PCIJ, Series A/B, No. 44, pp. 21, 24 and the *Georges Pinson* case, 5 RIAA, p. 327 [Attached as Schedule A]

⁴¹ See, for example, Art. 50, ICCPR; Art. 28, ICESCR; Art. 27 of the *Vienna Convention on the Law of Treaties*; General Comment No 31 of the UN *Human Rights Committee*

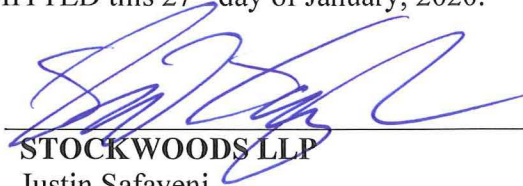
PART IV - COSTS

27. Amnesty International seeks no costs and asks that no costs order be made against it.

PART V - ORDER REQUESTED

28. Amnesty International takes no position on the outcome of the appeal.

ALL OF WHICH IS RESPECTFULLY SUBMITTED this 27th day of January, 2020.



STOCKWOODS LLP

Justin Safayeni

Zachary Al-Khatib

*Counsel for the Intervenor,
Amnesty International Canada*

PART VI - TABLE OF AUTHORITIES

	Para(s) in Factum
CASE	
<i>Morguard Investments Ltd. v. De Savoye</i>, [1990] 3 SCR 1077 at 1095	5
<i>Singh v Canada (Minister of Employment and Immigration)</i> [1985] 2 SCR 177	6
<i>Canada (AG) v Mossop</i> [1993] 1 SCR 554	6
<i>Greenhouse Gas Pollution Pricing Act</i>, 2019 ONCA 544	7, 8, 11, 12, 29, 35, 36, 39
<i>Greenhouse Gas Pollution Pricing Act</i>, 2019 SKCA 40	9, 12, 13, 14, 15, 16, 17, 40, 42
<i>Health Services and Support – Facilities Subsector Bargaining Assn. v British Columbia</i>, [2007] 2 SCR 391	41
<i>Divito v. Canada (Public Safety and Emergency Preparedness)</i>, 2013 SCC 47	41
<i>India v Badesha</i>, 2017 SCC 44	41
<i>Saskatchewan Federation of Labour v. Saskatchewan</i>, 2015 SCC 4	41
<i>Health Services and Support - Facilities Subsector Bargaining Assn. v. British Columbia</i>, 2007 SCC 27	41
<i>Ktunaxa Nation v British Columbia (Forests, Lands and Natural Resource Operations)</i>, 2017 SCC 54	41

SECONDARY AUTHORITIES	
Janet Walker, "The Constitution of Canada and the Conflict of Laws" (York University, 2001). <i>PhD Dissertations</i> #18 at 10	2
<i>International Covenant on Civil and Political Rights</i> , 19 December 1966, 999 UNTS 171 (entered into force 23 March 1976, accession by Canada 19 May 1976) art 6 [ICCPR]	18, 19, 23
<i>International Covenant on Economic, Social and Cultural Rights</i> , 16 December 1966, 993 UNTS 3 (entered into force 3 January 1976, accession by Canada 19 May 1976) [ICESCR]	20, 21
<i>United Nations Declaration on the Rights of Indigenous Peoples</i> , 2 October 2007, A/RES/61/295, available at:	22, 30, 31, 32, 33
<i>Convention on the Rights of the Child</i> , 7 March 1990, E/CN.4/RES/1990/74	24, 25, 26
<i>Convention on the Rights of Persons with Disabilities</i> , 24 January 2007, A/RES/61/106	27, 28
<i>Paris Agreement</i>, being an Annex to the <i>Report of the Conference of the parties on its twenty-first session, held in parties from 30 November to 13 December 2015--Addendum Part two: Action taken by the Conference of the parties at its twenty-first session</i> , 4 November 2016, UN Doc	34

FCCC/CP/2015/Add.1, 55 ILM 740 (entered into force 29 January 2016) [<i>Paris Agreement</i>]	
“Climate Change is the Ultimate Prisoner’s Dilemma” (May 9, 2013) <i>Global Risks Insight</i>	37
Yvonne Boyer, “First Nations, Metis, and Inuit Women's Health: A Rights-Based Approach” , 2017 54-3 <i>Alberta Law Review</i> 611, 2017 CanLIIDocs 55	625

STATUTORY PROVISIONS	
-----------------------------	--

British North America Act , 1867, 30-31 Vict., c. 3 (U.K.)	1
Public Service Employee Relations Act (Alta.) , [1987] 1 SCR 313	41

Schedule A	
-------------------	--

<i>International Law</i> , 5 th Edition, Cambridge: Cambridge University Press, 2003	43
--	----

Schedule A: Excerpt from International Law, 5th ed (Shaw)

MALCOLM N. SHAW



International Law

FIFTH EDITION



PUBLISHED BY THE PRESS SYNDICATE OF THE UNIVERSITY OF CAMBRIDGE
The Pitt Building, Trumpington Street, Cambridge, United Kingdom

CAMBRIDGE UNIVERSITY PRESS
The Edinburgh Building, Cambridge CB2 2RU, UK
40 West 20th Street, New York, NY 10011–4211, USA
477 Williamstown Road, Port Melbourne, VIC 3207, Australia
Ruiz de Alarcón 13, 28014 Madrid, Spain
Dock House, The Waterfront, Cape Town 8001, South Africa

<http://www.cambridge.org>

© M. N. Shaw 2003

This book is in copyright. Subject to statutory exception
and to the provisions of relevant collective licensing agreements,
no reproduction of any part may take place without
the written permission of Cambridge University Press.

Fourth edition first published by Cambridge University Press 1997
Reprinted 1999, 2000, 2001 (twice), 2002
Fifth edition published by Cambridge University Press 2003
Reprinted 2003, 2004 (twice)

Printed in the United Kingdom at the University Press, Cambridge

Typeface Adobe Minion 10.75/12.75 pt. System L^AT_EX 2_ε [T_B]

A catalogue record for this book is available from the British Library

Library of Congress Cataloguing in Publication data
Shaw, Malcolm N. (Malcolm Nathan), 1947–
International law / Malcolm N. Shaw – 5th edn.
p. cm.
Includes bibliographical references and index.

ISBN 0 521 82473 7 (hardback) – ISBN 0 521 53183 7 (paperback.)
1. International law. I. Title.
KZ3275.S53 2003 341 – dc21 2003051552

ISBN 0 521 82473 7 hardback
ISBN 0 521 53183 7 paperback

To my mother, Paulette
And in memory of my father, Ben Shaw CBE
And of my mother-in-law, Denise Axelrod
But above all to my wife Judith

assets and debts in the succession process affecting the successor states of the Former Yugoslavia. The Commission, in producing a negative answer, emphasised that the question of war damage was one that fell within the sphere of state responsibility, while the rules relating to state succession fell into a separate area of international law. Accordingly, the two issues had to be separately decided.⁹

In addition to the wide range of state practice in this area, the International Law Commission has been working extensively on this topic. In 1975 it took a decision for the draft articles on state responsibility to be divided into three parts: part I to deal with the origin of international responsibility, part II to deal with the content, forms and degrees of international responsibility and part III to deal with the settlement of disputes and the implementation of international responsibility.¹⁰ Part I was provisionally adopted by the Commission in 1980¹¹ and the Draft Articles were finally adopted on 9 August 2001.¹² General Assembly resolution 56/83 of 12 December 2001 took note of the adopted articles and commended them to governments.

The nature of state responsibility

The essential characteristics of responsibility hinge upon certain basic factors: first, the existence of an international legal obligation in force as between two particular states; secondly, that there has occurred an act or omission which violates that obligation and which is imputable to the state responsible, and finally, that loss or damage has resulted from the unlawful act or omission.¹³

These requirements have been made clear in a number of leading cases. In the *Spanish Zone of Morocco* claims,¹⁴ Judge Huber emphasised that:

⁹ 96 ILR, pp. 726, 728.

¹⁰ *Yearbook of the ILC*, 1975, vol. II, pp. 55–9. See also P. Allott, 'State Responsibility and the Unmaking of International Law', 29 *Harvard International Law Journal*, 1988, p. 1.

¹¹ *Yearbook of the ILC*, 1980, vol. II, part 2, pp. 30 ff.

¹² ILC Commentary 2001, A/56/10, 2001. This Report contains the Commentary of the ILC to the Articles, which will be discussed in the chapter. The Commentary may also be found in Crawford, *Articles*. Note that the ILC Articles do not address issues of either the responsibility of international organisations or the responsibility of individuals: see articles 57 and 58.

¹³ See e.g. H. Mosler, *The International Society as a Legal Community*, Dordrecht, 1980, p. 157, and E. Jiménez de Aréchaga, 'International Responsibility' in *Manual of Public International Law* (ed. M. Sørensen), London, 1968, pp. 531, 534.

¹⁴ 2 RIAA, p. 615 (1923); 2 AD, p. 157.

responsibility is the necessary corollary of a right. All rights of an international character involve international responsibility. Responsibility results in the duty to make reparation if the obligation in question is not met.¹⁵

and in the *Chorzów Factory* case,¹⁶ the Permanent Court of International Justice said that:

it is a principle of international law, and even a greater conception of law, that any breach of an engagement involves an obligation to make reparation.

Article 1 of the International Law Commission's Articles on State Responsibility reiterates the general rule, widely supported by practice,¹⁷ that every internationally wrongful act of a state entails responsibility. Article 2 provides that there is an internationally wrongful act of a state when conduct consisting of an action or omission is attributable to the state under international law and constitutes a breach of an international obligation of the state.¹⁸ This principle has been affirmed in the case-law.¹⁹ It is international law that determines what constitutes an internationally unlawful act, irrespective of any provisions of municipal law.²⁰ Article 12 stipulates that there is a breach of an international obligation²¹ when an act of that state is not in conformity with what is required of it by that obligation, regardless of its origin or character.²² A breach that is of a continuing nature extends over the entire period during which the act continues and remains not in conformity with the international obligation in question,²³ while a breach that consists of a composite act will also extend over the entire period during which the act or omission continues

¹⁵ 2 RIAA, p. 641.

¹⁶ PCIJ, Series A, No. 17, 1928, p. 29; 4 AD, p. 258. See also the *Corfu Channel* case, ICJ Reports, pp. 4, 23; 16 AD, p. 155; the *Spanish Zone of Morocco* case, 2 RIAA, pp. 615, 641 and the *Mayagna (Sumo) Indigenous Community of Awas Tingni v. Nicaragua*, Inter-American Court of Human Rights, Judgment of 31 August 2001 (Ser. C) No. 79, para. 163.

¹⁷ See e.g. ILC Commentary 2001, p. 63.

¹⁸ See *Yearbook of the ILC*, 1976, vol. II, pp. 75 ff. and ILC Commentary 2001, p. 68.

¹⁹ See e.g. *Chorzów Factory* case, PCIJ, Series A, No. 9, p. 21 and the *Rainbow Warrior* case, 82 ILR, p. 499.

²⁰ Article 3. See generally *Yearbook of the ILC*, 1979, vol. II, pp. 90 ff.; *ibid.*, 1980, vol. II, pp. 14 ff. and ILC Commentary 2001, p. 74. See also above, chapter 4, pp. 124 ff.

²¹ By which the state is bound at the time the act occurs, Article 13 and ILC Commentary 2001, p. 133. This principle reflects the general principle of intertemporal law: see e.g. the *Island of Palmas* case, 2 RIAA, pp. 829, 845 and above, chapter 9, p. 429.

²² See the *Gabčíkovo–Nagymaros Project* case, ICJ Reports, 1997, pp. 7, 38; 116 ILR, p. 1 and ILC Commentary 2001, p. 124.

²³ See article 14. See also e.g. *Loizidou v. Turkey*, Merits, European Court of Human Rights, Judgment of 18 December 1996, paras. 41–7 and 63–4; 108 ILR, p. 443 and *Cyprus v.*

and remains not in conformity with the international obligation.²⁴ A state assisting another state²⁵ to commit an internationally wrongful act will also be responsible if it so acted with knowledge of the circumstances and where it would be wrongful if committed by that state.²⁶

*The question of fault*²⁷

There are contending theories as to whether responsibility of the state for unlawful acts or omissions is strict or whether it is necessary to show some fault or intention on the part of the officials concerned. The principle of objective responsibility (the so-called 'risk' theory) maintains that the liability of the state is strict. Once an unlawful act has taken place, which has caused injury and which has been committed by an agent of the state, that state will be responsible in international law to the state suffering the damage irrespective of good or bad faith. To be contrasted with this approach is the subjective responsibility concept (the 'fault' theory) which emphasises that an element of intentional (*dolus*) or negligent (*culpa*) conduct on the part of the person concerned is necessary before his state can be rendered liable for any injury caused.

The relevant cases and academic opinions are divided on this question, although the majority tends towards the strict liability, objective theory of responsibility.

In the *Neer* claim²⁸ in 1926, an American superintendent of a Mexican mine was shot. The USA, on behalf of his widow and daughter, claimed damages because of the lackadaisical manner in which the Mexican authorities pursued their investigations. The General Claims Commission dealing with the matter disallowed the claim, in applying the objective test.

Turkey, European Court of Human Rights, Judgment of 10 May 2001, paras. 136, 150, 158, 175, 189 and 269; 120 ILR, p. 10.

²⁴ Article 15.

²⁵ Or directing or controlling it, see article 17; or coercing it, see article 18.

²⁶ Article 16.

²⁷ See e.g. Crawford, *Articles*, p. 12; H. Lauterpacht, *Private Law Sources and Analogies of International Law*, Cambridge, 1927, pp. 135–43; Nguyen Quoc Dinh et al., *Droit International Public*, p. 766; Brownlie, *Principles of Public International Law*, 5th edn, Oxford, 1998, p. 439 and *System*, pp. 38–46, and Aréchaga, 'International Responsibility', pp. 534–40. See also J. G. Starke, 'Imputability in International Delinquencies', 19 BYIL, 1938, p. 104, and Cheng, *General Principles*, pp. 218–32.

²⁸ 4 RIAA, p. 60 (1926); 3 AD, p. 213.

In the *Caire* claim,²⁹ the French–Mexican Claims Commission had to consider the case of a French citizen shot by Mexican soldiers for failing to supply them with 5,000 Mexican dollars. Verzijl, the presiding commissioner, held that Mexico was responsible for the injury caused in accordance with the objective responsibility doctrine, that is 'the responsibility for the acts of the officials or organs of a state, which may devolve upon it even in the absence of any "fault" of its own'.³⁰

A leading case adopting the subjective approach is the *Home Missionary Society* claim³¹ in 1920 between Britain and the United States. In this case, the imposition of a 'hut tax' in the protectorate of Sierra Leone triggered off a local uprising in which Society property was damaged and missionaries killed. The tribunal dismissed the claim of the Society (presented by the US) and noted that it was established in international law that no government was responsible for the acts of rebels where it itself was guilty of no breach of good faith or negligence in suppressing the revolt. It should, therefore, be noted that the view expressed in this case is concerned with a specific area of the law, viz. the question of state responsibility for the acts of rebels. Whether one can analogise from this generally is open to doubt.

In the *Corfu Channel* case,³² the International Court appeared to lean towards the fault theory³³ by saying that:

it cannot be concluded from the mere fact of the control exercised by a state over its territory and waters that that state necessarily knew, or ought to have known, of any unlawful act perpetrated therein, nor yet that it necessarily knew, or should have known, the authors. This fact, by itself and apart from other circumstances, neither involves *prima facie* responsibility nor shifts the burden of proof.³⁴

On the other hand, the Court emphasised that the fact of exclusive territorial control had a bearing upon the methods of proof available to establish the knowledge of that state as to the events in question. Because of the difficulties of presenting direct proof of facts giving rise to

²⁹ 5 RIAA, p. 516 (1929); 5 AD, p. 146.

³⁰ 5 RIAA, pp. 529–31. See also *The Jessie*, 6 RIAA, p. 57 (1921); 1 AD, p. 175.

³¹ 6 RIAA, p. 42 (1920); 1 AD, p. 173.

³² ICJ Reports, 1949, p. 4; 16 AD, p. 155.

³³ See e.g. *Oppenheim's International Law*, p. 509.

³⁴ ICJ Reports, 1949, pp. 4, 18; 16 AD, p. 157. Cf. Judges Krylov and Ecer, *ibid.*, pp. 71–2 and 127–8. See also Judge Azevedo, *ibid.*, p. 85.